



HAI Compendium

Guidance and resources

28 April 2023

About the HAI Compendium

The HAI Compendium contains links to current national policy and guidance on HAI, antimicrobial prescribing and resistance, decontamination and other related topics. The Compendium aims to provide NHSScotland staff with an overview of all up to date guidance from stakeholders/organisations.

The Compendium includes links to the [National Infection Prevention and Control Manual \(NIPCM\)](#) and which is the mandatory practice guide for all NHSScotland care settings and includes Standard Infection Control Precautions, Transmission Based Precautions and (HAI Incidents and Outbreaks). The NIPCM includes supporting materials such as literature reviews, tools and posters. Pathogen specific guidance and information including transmission routes, description and infectivity can be found in the A-Z of pathogens within the NIPCM.

A link to the [Care Home Infection Prevention and Control Manual \(CH IPCM\)](#) for older people and adult care homes are included in the Care Homes section and this is the practice guide that should be used in by all those involved in residential care provision in Scotland.

Access to [NHS Education Scotland](#) training course information can be found within the Compendium.

The Compendium is updated by ARHAI in response to policy and guidance updates, review or removal from the listed stakeholder/organisations.

Disclaimer:

No responsibility will be taken for any omissions to or the content of the compendium. Any questions regarding any of the guidance documents should be directed to the appropriate organisation.

Key to abbreviations used

Abbreviation	Organisation
ACDP	Advisory Committee on Dangerous Pathogens
ARHAI	Antimicrobial Resistance and Healthcare Associated Infection Scotland
CDC	Centers for Disease Control and Prevention
DH/DHSC	Department of Health and Social Care
HFS	Health Facilities Scotland
HIS	Healthcare Improvement Scotland
HPA	Health Protection Agency
HPS	Health Protection Scotland
NES	NHS Education for Scotland
NICE	National Institute for Health and Clinical Excellence
PHE	Public Health England
PHS	Public Health Scotland
SAPG	Scottish Antimicrobial Prescribing Group
SDEP	Scottish Dental Effectiveness Programme
SEHD	Scottish Executive Health Department
SG/SGHD/SGHSCD	Scottish Government
SIGN	Scottish Intercollegiate Guidance Network
SUTIN	Scottish Urinary Tract Infection Network
UKGov	UK Government
UKHSA	UK Health and Security Agency
WHO	World Health Organization

Contents

1	COVID-19	6
2	Infection prevention and control precautions	11
2.1	Standard Infection Control Precautions (SICPs).....	13
2.1.1	Safe management of linen.....	13
2.1.2	Personal Protective Equipment (PPE).....	14
2.1.3	Occupational safety: Prevention and exposure management (including sharps)	14
2.1.4	Safe disposal of waste (including sharps).....	15
2.2	Transmission Based Precautions (TBPs)	15
3	Outbreaks and Incidents	16
4	Decontamination	17
4.1	Environmental/patient care equipment.....	17
4.2	Reusable medical devices	18
5	Built Environment	24
6	Health and Care settings (including care homes)	28
7	Antimicrobial prescribing and resistance	30
8	Creutzfeldt-Jakob Disease (CJD or vCJD)	31
9	Surveillance	34
10	Sepsis	35
11	Quality improvement	36
11.1	Urinary Tract Infection/Catheter Associated (CAUTI)	37
11.2	Surgical Site Infection (SSI)	39
12	Setting specific guidance	40
12.1	Childcare settings	40

12.2	Dentistry.....	41
12.3	Neonatal settings	42
12.4	Prison Service.....	42
12.5	Animals in care settings	43
13	Education and Training	44
13.1	NHS Education for Scotland	44
13.2	e-BUG.....	44
14	HAI Standards, Management and risk.....	45
15	Organism Specific.....	47
15.1	<i>Clostridioides difficile</i> infection.....	47
15.2	Carbapenemase-producing Enterobacterales (CPE).....	47
15.3	Group A Streptococcus (GAS).....	48
15.4	Hepatitis C (HCV)	48
15.5	Meticillin Resistant <i>Staphylococcus aureus</i> (MRSA) (including <i>Staphylococcus aureus</i> bacteraemia).....	48
15.6	Pneumonia.....	48
15.7	<i>Pseudomonas aeruginosa</i> and other related Gram negative water-borne organisms	49
15.8	Monkeypox	49
16	Organisation websites	50

1 COVID-19

Date	Policy/Guidance	Summary	Author
13/04/2023	Coronavirus (COVID-19): advice for unpaid carers	Guidance for those who provide unpaid care to friends or family.	SG
13/04/2023	Coronavirus (COVID-19): advice for people on the Highest Risk List	Advice to help people on the Highest Risk List make informed decisions and access support services.	SG
28/02/2023	Coronavirus (COVID-19): ventilation in the workplace	Guidance for employers on improving ventilation and the supply of fresh air into the workplace.	SG
28/02/2023	Further Update on Standards on Healthcare Associated Infections and Indicators on Antibiotic Use and changes to Hospital Onset Covid-19 Reporting (scot.nhs.uk)	Provides information on the change to COVID-19 reporting from 1 st March 2023.	SG
31/01/2023	Coronavirus (COVID-19): adult care homes visiting guidance	Guidance on visiting during the pandemic plus tools and resources on visiting and supporting residents in homes with COVID-19.	SG
16/01/2023	Extant Guidance on infection prevention and control, face mask and face covering use and patient testing for COVID-19 infection	Provides summary of the current guidance regarding IPC, face coverings and patient testing for COVID-19.	SG
12/01/2023	Coronavirus (COVID-19): safer workplaces and public settings	Guidance for businesses and workplaces on reducing the risk of COVID-19 and supporting staff and customers.	SG
06/01/2023	Coronavirus (COVID-19): staying safe and protecting others	How to keep ourselves and each other safe from coronavirus (COVID-19).	SG
27/10/2022	Coronavirus (COVID-19): extended use of face masks and face coverings in hospitals, primary care and wider community healthcare	Guidance for staff within acute hospitals, community hospitals, primary care and wider community healthcare, together with advice for	SG

Date	Policy/Guidance	Summary	Author
		visitors, including those attending for an appointment.	
12/10/2022	Coronavirus (COVID-19): use of face coverings in social care settings including adult care homes	Guidance for staff on use of face coverings in these settings.	SG
05/09/2022	Coronavirus (COVID-19): hospital visiting guidance	Enabling family support for people in hospital in Scotland.	SG
30/09/2022	Coronavirus (COVID-19): guidance for care at home, housing support and supported housing	Guidance for local authorities, Health and Social Care Partnerships (HSCPs) and registered providers, who deliver care and support to people in their own homes or provide supported accommodation including sheltered housing, extra care housing and supported living during the pandemic.	SG
28/09/2022	Open with Care: supporting meaningful contact in adult care homes – principles Easy read version Visiting leaflet	Guidance setting out the key principles and expectations for care home providers, care home staff and visitors in relation to visiting adult care homes, including during COVID-19 and other infectious outbreaks. It also provides tools and resources to support visiting in adult care homes.	SG
28/09/2022	Coronavirus (COVID-19): staying at home	Free COVID-19 testing has ended for most people in Scotland. If you have symptoms you should stay at home until you feel better.	SG
28/09/2022	Coronavirus (COVID-19): adult social care building-based day services - guidance	Guidance is designed to support safe re-opening and delivery of building-based day services for adults.	SG
15/09/2022	Coronavirus (COVID-19): social care and community based testing guidance	Guidance on coronavirus testing for the social care sector.	SG

Date	Policy/Guidance	Summary	Author
14/09/2022	Coronavirus (COVID-19): staff testing in NHSScotland	Guidance on testing of NHS Scotland staff, to reduce the potential spread of COVID-19.	SG
14/09/2022	Advance Notice of a Pause of Asymptomatic Staff Testing in Health and Social Care and Asymptomatic Testing in Hospitals to be in place by the end of September 2022. DL(2022)32	Details of the pause in asymptomatic staff testing to be in place by end of September 2022.	SG
28/08/2022	Updates to COVID-19 asymptomatic testing guidance for patient testing; adult care home staff testing; extended use of face mask guidance and launch of COVID-19 and Flu Vaccination Autumn/Winter 2022 DL(2022)29.	Updated guidance for asymptomatic testing of hospital patients, asymptomatic testing of staff in care homes and extended use of face masks.	SG
24/06/2022	Removal of temporary COVID Policies (DL(2022)21)	Confirms that the majority of temporary COVID related policies will end on 30 June 2022. Annex A provides a full list of the relevant provisions, for reference.	SG
15/05/2022	COVID-19 rapid guideline: managing COVID-19	This guideline covers the management of COVID-19 for children, young people and adults in all care settings.	NICE
11/05/2022	Further Updates for Healthcare Professionals - affecting staff testing, and amendments to guidance	Consider what the next stages regarding COVID-19 management within health and care settings look like as we move through the COVID-19 pandemic towards an endemic state.	SG
10/05/2022	Coronavirus (COVID-19): distance aware scheme	The Distance Aware scheme is designed to help those worried about mixing with others as we adapt to living with COVID-19. Find out how to get a Distance Aware badge or	SG

Date	Policy/Guidance	Summary	Author
		lanyard to show others you need more space.	
25/04/2022	Update on highest risk list (CMO (2022) 18)	Changes and evidence for the highest risk list.	SG
19/04/2022	Health and social care worker access to FFP3 masks, based on staff preference during the transition period. DL (2022) 10	The process line managers and staff should follow when requesting access to FFP3 (respirator) masks in place of Type IIR (surgical) masks, based on personal preference. This follows publication of the de-escalation of COVID-19 Infection Prevention and Control (IPC) Measures in Health and Social Care Settings to alleviate System Pressures (DL 2022 (07)).	SG
04/04/2022	UPDATED STANDARD OPERATING PROCEDURE (SOP) APRIL 22	Clarifies content in CDO(2022)01 around wearing of FFP3 in dentistry.	SG
01/04/2022	De-escalation of COVID-19 infection prevention and control (IPC) measures (CDO (2022)01)	Provides updates on the changes made to COVID-19 guidance for dentistry as part of de-escalation measures	SG
31/03/2022	De-escalation of COVID-19 infection prevention and control (IPC) measures in Health and Social Care settings to alleviate system pressures DL (2022) 07	Provides key changes to be made as part of the de-escalation process	SG
22/02/2022	Healthcare Workers to revert back to twice weekly Lateral Flow Device (LFD) testing DL (2022) 05.	This letter follows on from DL (2021) 51, and asks healthcare workers (HCW) and patient facing primary care staff (general practice, pharmacy, optometry, dentistry) to reduce their frequency of LFD testing from daily back to twice weekly.	SG
10/02/2022	MANAGING THE LONG-TERM EFFECTS OF COVID-19: UPDATED CLINICAL GUIDELINE AND	Advises that SIGN/SG have updated their decision note that goes along with the NICE guidance 'Managing the long-term effects of COVID-19'.	SG

Date	Policy/Guidance	Summary	Author
	IMPLEMENTATION SUPPORT NOTE	Provides links to relevant resources.	
03/2022	Assessment of COVID-19 in primary care	This evidence review addresses prognostic factors which may indicate progression to severe disease. Key findings are presented on the identification of symptoms, characteristics, comorbidities and clinical signs which may indicate a higher risk of progression to severe disease.	SIGN
24/02/2022	UPDATE ON SELF-ISOLATION FOR HEALTH AND SOCIAL CARE STAFF	Updated Policy Framework to the self-isolation guidance for Health and Social Care Staff, as a result of changes to the COVID-19 self-isolation guidance for the general population.	SG
24/12/2022	UPDATE ON SELF-ISOLATION EXEMPTION FOR HEALTH AND SOCIAL CARE STAFF	Updated Policy Framework on the self-isolation exemption for health and social care staff that contains some substantive changes	SG
17/12/2022	Healthcare Workers to increase Lateral Flow Device (LFD) testing frequency from twice weekly to daily.	Update on a change to the asymptomatic Healthcare Worker (HCW) LFD testing policy from twice weekly to daily testing	SG
25/11/2021	Launch of the Scottish Winter 2021/22 Respiratory Infections in Health and Care settings - Infection Prevention and Control (IPC) Addendum	Notifies all staff and managers about the launch of the Scottish Winter 2021/22 Respiratory Infections in Health and Care settings - Infection Prevention and Control (IPC) Addendum which supersedes the COVID-19 addenda across all settings..	SG
22/11/2021	REVISED GUIDANCE FOR PREGNANT EMPLOYEES	Summary of updates made to the DHSC COVID-19 guidance for pregnant employees.	SG
05/11/2021	QUARANTINE (SELF-ISOLATION) FOR NHS	This guidance updates the information provided in DL(2020)20 and	SG

Date	Policy/Guidance	Summary	Author
	SCOTLAND STAFF RETURNING TO THE UK DL (2021) 36	DL(2021)13 and should be read in conjunction with the latest Scottish Government advice, Coronavirus (COVID-19): international travel and managed isolation (quarantine).	
31/08/2021	Update to the National Infection Prevention and Control Manual COVID-19 addenda on physical distancing in Health and Social Care DL (2021) 28	Updated information on physical distancing.	SG

2 Infection prevention and control precautions

Date	Policy/Guidance	Summary	Author
	National Infection Prevention and Control Manual (NIPCM)	The NIPCM is the practice guide for use in NHSScotland containing guidance on Standard Infection Control Precautions (SICPs), Transmission Based Precautions (TBPs), incidents and outbreaks and decontamination and the built environment.	ARHAI
2018	Infection Prevention and Control Assessment Tool	Toolkit and guidance to support national level assessment of the WHO Guidelines on Core Components of Infection Prevention and Control Programmes and is applicable to all countries.	WHO
2016	Guidelines on Core Components of Infection Prevention and Control Programmes	Guideline aims to support countries as they develop and execute their national antimicrobial resistance (AMR) action plans, among other aspects of health system strengthening. The guidelines cover eight areas of IPC and comprise 14	WHO

Date	Policy/Guidance	Summary	Author
		recommendations and best practice statements	
2003	Infection at Work: Controlling the Risks. A guide for employers and the self employed on identifying, assessing and controlling the risks of infection in the workplace (Archived)	Advisory Committee on Dangerous Pathogens dealing with the risk of infection at work. This report is not aimed at those who deliberately work with micro-organisms e.g. laboratory workers.	ACDP

2.1 Standard Infection Control Precautions (SICPs)

See [NIPCM](#) website for practice guide and literature review and appendices

2.1.1 Safe management of linen

See [NIPCM website](#) for practice guide and literature reviews and appendices

Date	Policy/Guidance	Summary	Author
2020	Uniforms and workwear: guidance for NHS employers	The guidance provides general guidance on uniforms and workwear and addresses infection control requirements. Consideration is also given to the needs of faith groups.	NHS England/ NHS Improvement
2018	National Uniform Policy, dress code and laundering policy	This DL confirms a change to the National Uniform Policy, following the introduction of a uniform for Clinical Nurse Managers of band 8A and above, to be worn at all times when working in a clinical environment.	SG
2018	National Guidance for safe management of linen in NHSScotland Health and Care environments for laundry services/distribution	Document aims to provide guidance on the safe management of linen at all stages of the laundering process from the point of collection in the care area to the completion of reprocessing and storage.	HPS/HFS
2010	National Uniform Policy, Dress Code and Laundering Policy CEL 42(2010)	Updates and replaces CEL 53(2008), CEL36(2009), CEL46(2009) CEL comprises 3 sections: <ul style="list-style-type: none"> • National Uniform Policy • the dress code • the Laundering Policy 	SG

2.1.2 Personal Protective Equipment (PPE)

See [NIPCM website](#) for practice guide and literature reviews and appendices

Date	Policy/Guidance	Summary	Author
2020	Memo on wearing of Fluid Resistant Type IIR Surgical Face masks, HPS	NHS Lanarkshire produced leaflet with pictures of Type 11R masks and how to wear them.	NHS Lanarkshire

2.1.3 Occupational safety: Prevention and exposure management (including sharps)

See [NIPCM website](#) for practice guide and literature reviews

Date	Policy/Guidance	Summary	Author
2022	Guidance for health clearance of healthcare workers (HCWs) and management of those infected with bloodborne viruses (BBVs) hepatitis B, hepatitis C and HIV.	This 2022 update has new advice regarding reactivation of hepatitis B (rHBV) following immunosuppression. In cases with resolved hepatitis B, rHBV is becoming more common due to increasing use of therapeutic immunosuppression for other conditions.	UKHSA
2014	Eye of the needle report. Surveillance of significant occupational exposures to bloodborne viruses in healthcare workers in the United Kingdom- update on seroconversions	This short report summarises the number of SOEs reported to PHE from the start of SOE surveillance on 1 January 1997, including whether these HCWs had received hepatitis B vaccination and/or HIV PEP, and the number of seroconversions as a result of the exposure. Data from Scotland on seroconversions and the number of SOEs only has been extracted from their annual report National Surveillance of Sharps Injuries in Scotland.	PHE
2014	Practice of exposure-prone medical procedures by Healthcare Workers living with HIV or Hepatitis B CMO(2014)02	This letter sets out various arrangements in relation to the practise of exposure-prone procedures by healthcare workers living with HIV or Hepatitis B, following	SG

Date	Policy/Guidance	Summary	Author
		changes to policy in Scotland and across the UK.	
2013	Health and safety (Sharp instruments in health) Regulations 2013 CMO(2013)05 Regulations	Letter advises of the introduction of the Health and Safety (Sharp instruments in healthcare) Regulations 2013 which come into force on 11 May 2013.	SG

2.1.4 Safe disposal of waste (including sharps)

See [NIPCM website](#) for practice guide and literature reviews

Date	Policy/Guidance	Summary	Author
2017	NHSScotland Waste Management Action Plan 2016-2020 DL(2017)03	The Action Plan identifies the requirements that NHS Boards should already have in place.	SG
2015	Scottish Health Technical Note 3 NHSScotland Waste Management Guidance	Provides guidance to staff and contractors involved at all stages of waste management and is applicable wherever the NHS in Scotland provides healthcare services and produces waste of any kind.	HFS
2014	Waste (Scotland) Regulations 2012)		UKGov

2.2 Transmission Based Precautions (TBPs)

Standard Infection Control Precautions (SICPs) may be insufficient to prevent cross transmission of specific infectious agents. Therefore additional precautions (TBPs) are required to be used by staff. SICPs must still be applied with these additional considerations. Includes guidance on droplet, contact and airborne precautions

See [NIPCM website](#) for practice guide and literature reviews and resources.

3 Outbreaks and Incidents

See [Chapter 3 of the NIPCM website](#) for practice guide and literature reviews.

Date	Policy/Guidance	Summary	Author
2020	Learning from adverse events through reporting and review. A national framework for Scotland.	This national framework is intended to provide an overarching approach developed from best practice to support care providers effectively manage adverse events.	HIS
2019	Healthcare Associated Infection (HAI): Guidance for staff screening during healthcare associated infection incidents and outbreaks DL(2020)01	Letter sets out approach to be taken by NHSScotland in ensuring effective staff screening processes in the event of an incident or outbreak of infection, and the management of staff who test positive or where treatment fails	SG
2020	Management of Public Health Incidents: Guidance on the roles and responsibilities of NHS led incident management teams, Scottish Guidance No 12.1	This document provides generic guidance for the NHS in preparing for, and managing public health incidents in collaboration with partners, especially the local authorities (LAs).	HPS/ HPN
2017	The National Support Framework	The National Support Framework is a structure that sets out the roles and responsibilities of organisations in the event that a healthcare infection outbreak/incident, data exceedance or Healthcare Environment Inspectorate (HEI) report deems additional support to an NHS Board is required. This framework supersedes CNO algorithm 2015	HPS
2011	UK Influenza Pandemic Preparedness Strategy	This document describes an updated, UK-wide strategic approach to planning for and responding to the demands of an influenza pandemic.	DH

4 Decontamination

4.1 Environmental/patient care equipment

Guidance and literature reviews on decontamination of reusable medical devices and equipment can be found on the [NSS website](#) and [National Infection Prevention control manual](#) and should be referred to regarding equipment and environmental decontamination for SICPs and TBPs.

Date	Policy/Guidance	Summary	Author
2021	Scottish Health Facilities Note (SHFN) 01-01. National Facilities Monitoring Framework Manual. A step-by-step guide to managing and carrying out cleaning monitoring in NHSScotland	The National Facilities Monitoring Framework Manual provides those involved in the audit process with a single source of information and guidance for any activity related to facilities monitoring.	HFS
2020	Scottish Health Facilities Note 01-04. National Average Cleaning Time (NACT) User Guide:	Instructions on how to use the National Average time to clean tool.	HFS
2019	Guidance for decontamination and testing of cardiac heater cooler units	This guidance sets out the operational procedures covering decontamination of heater cooler units (HCU) used during cardiac surgeries, microbiological testing and associated actions based on water and air results.	HPS
2016	Decontamination of breast pump milk collection kits and related items at home and at hospital	This paper aims to provide best practice guidance for decontamination of this equipment at home and in hospital. It has been compiled by a Joint Working Group of the Healthcare Infection Society and the Infection Prevention Society.	HIS
2016	The NHSScotland National Cleaning Services Specification	Document to be used for all cleaning within NHSScotland facilities.	HFS

Date	Policy/Guidance	Summary	Author
2015	Patient equipment and environment cleaning compliance monitoring tool	Compliance quality improvement monitoring tool can be used for patient equipment/environment cleaning in NHS boards.	HPS
2015	SBAR: Blood Gas Analysers	This SBAR provides recommendations for clinical practice for NHSScotland.	HPS
2014	A-Z template for decontamination of re-usable medical devices	Template can be used locally by frontline staff such as senior charge nurses and infection prevention and control staff.	HPS

4.2 Reusable medical devices

Date	Policy/Guidance	Summary	Author
07/03/2023	NICE guidance IPG666: Reducing the risk of transmission of Creutzfeldt-Jakob disease (CJD) from surgical instruments used for interventional procedures on high-risk tissues CMO(2023)02	Letter confirms that the 2020 NICE IPG666 should be implemented by boards across Scotland.	SG
2021	National adverse incident reporting and safety alert systems for medical devices, estates & facilities, and social care equipment	Process for local authorities and NHS Boards for the safe provision of care supported by local systems for managing adverse events and safety alerts.	HFS

Date	Policy/Guidance	Summary	Author
2021	Contamination status certificate	Form for recording and reporting contaminated or defective equipment or devices. Must accompany all items which have, or may have, been in contact with: hazardous chemicals, infectious material (e.g. tissue, bone, blood, other body fluids, or pathological samples) disposable devices, whether used or unused	HFS
2020	Scottish Health Technical Memorandum 01-02. Management, Operation and Testing of Laboratory Sterilizers and Washer Disinfectors	Provides a more detailed guidance to assist in the compliance with other relevant guidance such as HSE 'Safe working and prevention of infection in clinical laboratories and similar facilities: 2003' and 'Biological agents: Managing the risks in laboratories and healthcare premises:2005'.	HFS
2020	Guidance on Management of Medical Devices and Equipment in Scotland's Health and Social Care Services	This guidance recognises prime groups within the parties holding an interest and provides a focus for them to be equipped to discharge their particular responsibilities across three dominant themes; use, acquisition and manufacture	HFS
2020	NICE Reducing the risk of transmission of Creutzfeldt–Jakob disease (CJD) from surgical instruments used for interventional procedures on high-risk tissues	Evidence-based recommendations on reducing the risk of transmission of Creutzfeldt–Jakob disease (CJD) from surgical instruments used for interventional procedures on high-risk tissues.	NICE
2020	Provision of compliant podiatry instruments. GUID 2007	This document clarifies the national requirements for sterilized podiatry instruments within NHSScotland and private clinics; compliant reprocessing in primary care LDUs and outsourcing decontamination to third party providers.	HFS

Date	Policy/Guidance	Summary	Author
2020	Guidance on Decontamination of Equipment for Gastrointestinal Endoscopy 2020	The Report of a Working Party of the British Society of Gastroenterology Endoscopy Committee 2014. Reviewed 2017 and 2020.	BSG
2019	Compliant Dental Local Decontamination Units in Scotland Version 2 – GUID 5005	This document clarifies the national requirements for compliant reprocessing of dental devices in Local Decontamination Units (LDUs)	HFS
2019	Decontamination – Requirements for compliant CDUs – GUID 5014	Document covers: Processing of reusable medical devices such as surgical instruments used in the acute sector; Outsourcing decontamination of reusable medical devices such as surgical instruments to a third party service provider.	HFS
2019	Guidance for the interpretation and clinical management of endoscopy final rinse water	Aims to enhance patient safety and reduce risks of decontamination related Healthcare Associated Infection (HAI) by standardising the interpretation of and clinical management of endoscopy final rinse water results nationally, based on available scientific evidence, current practices and an estimation of infection risk within NHSScotland following endoscopic procedures.	HPS
2018	SHTM 01-01: Decontamination of medical devices in a Central Decontamination Unit.	Suite of guidance to be used on decontamination of medical devices in a CDU. Includes addendums GUID 5017 for service users and 5019 in relation to changes to NICE IPG 196	HFS
2017	Medical devices regulations: compliance and enforcement	Information on MHRA's enforcement duties and how to report a non-compliant medical device.	MHRA

Date	Policy/Guidance	Summary	Author
2017	Guidance for Decontamination of Semi-Critical Ultrasound Probes	Sets out the operational procedures covering decontamination of Semi-critical probes using High Level Disinfection (HLD).	HPS
2016	Decontamination and reprocessing of medical devices for health-care facilities	For information only	WHO
2016	Single-use medical devices	UK guidance on re-manufacturing of Single-use medical devices	MHRA
2015	National Decontamination Guidance on Loan Medical Devices (Reusable): Roles and Responsibilities. GUID 5002	Defines roles and responsibilities for theatres/clinics, Central Decontamination Units (CDUs) and manufacturers.	HFS
2015	Managing Medical Devices: Guidance for healthcare and social services organisations	This guidance can be used in Scotland with the following disclaimer: Responsibility for minimising the risk arising from the management and use of medical devices in Northern Ireland and Scotland is held by the devolved administrations. They may issue similar safety publications, although sometimes with different reference numbers	MHRA
2014	Guidance for disposal and recycling of Medical Devices (GUID 5008)	For NHS Boards and contractors on how to dispose of and recycle medical devices.	HFS
2014	Symbols used on medical devices: poster	Poster showing some of the different symbols used on medical devices.	MHRA
2014	Devices in practice: checklists for using medical devices	Checklists providing a practical guide to using medical devices	MHRA
2014	Off label use of a medical device	Guidance for using an off-label medical device	MHRA

Date	Policy/Guidance	Summary	Author
2014	Theatres and CDU Guidance Management of reusable surgical instruments during transportation, storage and after clinical use – GUID 5010 Part B- Operational Guidance	The operational guidance is intended for staff who have responsibility for receiving, storing and using sterile pack/trays (e.g. theatre managers, stores personnel, theatre staff and porters).	HFS
2014	Requirements for compliant endoscope decontamination units – GUID 5013	Document supported by the HAI National Advisory Group and covers EDUs exclusively reprocessing flexible, thermo-labile endoscopes and their accessories.	HFS
2013	Guide to the carriage of dangerous goods (GUID 5006)	To assist those involved with, or responsible for, the transportation of used medical devices to Central Decontamination Units (CDU), Endoscope Decontamination Units (EDU) and Local Decontamination Units (LDU).	HFS
2013	Addendum to CEL 43(2009): Safety of Health, Social Care, Estates and Facilities Equipment: NHS Board and Local Authority Responsibilities	Letter is an addendum to CEL 43 (2009) and provides model wording for inclusion in contracts.	SG
2013	Single-use Medical Devices: Implications and Consequences of Reuse – DB 2006(04) v2.0 Single-use medical devices leaflet	This is a revised version of the edition published in 2006.	MHRA
2013	Top 10 tips on endoscope decontamination	Guidance for healthcare professionals on effectively decontaminating endoscopes.	MHRA

Date	Policy/Guidance	Summary	Author
2009	Safety of Health, Social Care, Estates and facilities equipment: NHS Board and Local Authority Responsibilities CEL(2009)43	<p>This CEL builds on and strengthens existing arrangements and sets out the responsibilities of Chief Executives for ensuring that procedures exist for the reporting of adverse incidents, the dissemination of safety advice and the control of risks relating to health, social care, estates and facilities equipment.</p>	SG
2008	Changes to the ACDP Guidance on TSE Agents: Safe working and the prevention of infection and Refurbishment of endoscopes potentially contaminated with CJD agent. CMO(2008)11	<p>Advises and informs infection control teams, clinical governance and risk management committees of the local policy and procedures to be put in place for all patients about to undergo any surgery or endoscopy.</p>	SEHD

5 Built Environment

Additional guidance including design guidance can be found in the [Health Facilities Scotland webpages](#).

Date	Policy/ Guidance	Summary	Author
06/02/2023	NHSScotland ASSURE: KEY STAGE ASSURANCE REVIEWS (KSAR) – COMMISSIONING AND HANDOVER DL(2023)03	Update on the use of the KSAR process	SG
27/05/2021	NHS SCOTLAND ASSURE: QUALITY IN THE HEALTHCARE ENVIRONMENT DL(2021) 14	Provides information on the new NHS Assure service from 21 June 2021.	SG
2017	SHPN 16.01: Mortuary and post mortem facilities: Design and briefing guide.	This publication is based on core guidance produced by NHS England and adapted for use in Scotland by Health Facilities Scotland, part of NHS National Services Scotland (NSS). It replaces for use in Scotland, Scottish Hospital Planning Note 20: 'Mortuary & post mortem rooms' (2002), and HBN 20 (2005).	HFS
2017	Best Practice Guidance in NHSScotland. HBN-00-02 Core elements: Sanitary spaces	This document must be read in conjunction with current Scottish Government Policy and NHSScotland Guidance, which take precedence. Includes new section on changing places.	HFS
2017	State of NHSScotland assets and facilities Report 2017	A review of asset and facilities management performance in NHSScotland, identifying the current state of the estate and facilities management, highlighting areas of best practice and areas for improvement.	SG

Date	Policy/ Guidance	Summary	Author
2015	Scottish Health Technical Memorandum 04-02 Water safety for Emerging technologies	Healthcare-specific technical engineering guidance is a vital tool in the safe and efficient operation of healthcare facilities. Scottish Health Technical Memorandum guidance is the main source of specific healthcare-related guidance for estates and facilities professionals.	HFS
2014	Scottish Health Facilities Note (SHFN) 30 - Infection Control in the Built Environment: Design and Planning – HAI SCRIBE	Documents provide information for those responsible for planning, design, construction, refurbishment and maintenance of Healthcare Facilities to help identify, prevent and control Built Environment HAI risks in a collaborative process.	HFS
2014	Water safety for healthcare premises (SHTM-04-01):	This suite of documents provides advice and guidance to healthcare management, design engineers, estate managers and operations managers on the legal requirements, design applications, maintenance and operation of hot and cold water supply, storage and distribution systems in all types of healthcare premises.	HFS
2014	Ventilation for Healthcare Premises (SHTM 03-01)	The document gives comprehensive advice and guidance on the legal requirements, design implications, maintenance and operation of specialised ventilation in all types of healthcare premises. Applies to new installations and major refurbishments of existing installations.	HFS
2014	Health Building Note (HBN 00-03) Core elements: Clinical and clinical support spaces	This document is Best Practice Guidance for use in Scotland along with the attached note.	HFS

Date	Policy/ Guidance	Summary	Author
2014	Health Building Note (HBN 23). Hospital accommodation for children and young people	This document is Best Practice Guidance for use in Scotland.	HFS
2014	Health Building Note (HBN 15). Facilities for pathology services	This document is Best Practice Guidance for use in Scotland.	HFS
2014	Health Building Note (HBN 00-01) Core elements: General design guidance for healthcare buildings	This document is Best Practice Guidance for use in Scotland.	HFS
2013	Water sources and potential infection risk to patients in high risk units – a revised guidance	This letter replaces CEL 2012(03). Revised guidance was issued on SHTM 04-01 part A and B and Guidance for neonatal units (NNUs) (levels 1, 2, 3), adult and paediatric intensive care units (ICUs) in Scotland to minimise the risk of <i>Pseudomonas aeruginosa</i> infection from water.	SG
2013	Addendum to CEL 43(2009): Safety of Health, Social Care, Estates and Facilities Equipment: NHS Board and Local Authority Responsibilities	Letter is an addendum to CEL 43 (2009)	SG
2011	Scottish Health Planning Note (SHPN 13) Decontamination Facilities	This series provides information on the building and operating principles for CDUs, LSUs and Endoscope Decontamination Units and outlines the objectives and service requirements in terms of the provision and operation of the facility.	HFS

Date	Policy/ Guidance	Summary	Author
2010	Provision of Single Room Accommodation and Bed Spacing (CEL 27(2010))	Update on requirement for all new builds to have 100% single rooms and refurbishments to have minimum of 50% single rooms and reinforce refurbishments to work to bed spacing of not less than 3.6m (wide) x 3.7m (deep).	SG
2010	Scottish Health Planning Note (SHPN 04-01) Adults in-patient facilities	Guidance on the design of new in-patient accommodation providing up to 100% single-bed wards. Guidance is also provided on bed spacing standards relevant to the refurbishment of existing accommodation.	HFS
2009	Safety of Health, Social Care, Estates and Facilities Equipment: NHS Board and Local Authority Responsibilities	This CEL builds on and strengthens existing arrangements and sets out responsibilities of Chief Executives for ensuring that procedures exist for the reporting of adverse incidents, the dissemination of safety advice and the control of risks relating to health, social care, estates and facilities equipment.	SG
2008	Provision of single room accommodation and bed spacing	Letter sets out conclusions reached by Steering Group on single room provision and introduces updated guidance on the future provision of single room accommodation and bed spacing in new and refurbished projects.	SG
2002	Scottish Health Planning Note (SHPN 52) Accommodation for day care	Day care services mainly include day surgery, endoscopy, and medical investigation and treatment.	HFS

6 Health and Care settings (including care homes)

The [Care Home Infection Prevention and Control Manual](#) (CH IPCM) for older people and adult care homes should be used by all those involved in residential care provision in Scotland.

Date	Policy/Guidance	Summary	Author
2022	My Health, My Care, My Home - healthcare framework for adults living in care homes	Framework providing a series of recommendations that aims to transform the healthcare for people living in care homes.	SG
2021	Safe Management of the Care Environment Cleaning Specification for Older People and Adult Care Homes	The tools within the Cleaning Specification should be used by the care home manager in the planning, training of staff, delivery, and checking of standards of the cleaning services they provide.	HFS
2020	Clostridioides difficile infection - information for people who are getting care, their visitors, and anyone else who is in a healthcare setting	This leaflet is for people who are getting care, their visitors, and anyone else who is in a healthcare setting.	HPS
2019	Animals in care settings: infection prevention and control guidelines	This guidance provides actions required for any health or social care settings when considering bringing animals into the care setting.	HPS
2019	Healthcare associated infection (HAI) Information for the public	Information leaflet for the public giving guidance on healthcare associated infections (HAI) and what they can do to prevent them.	HPS
2019	Washing clothes at home – information for people in hospitals or care homes and their relatives	Information leaflet for anyone who washes their friend's or relative's clothing at home. Alternative language versions are available.	HPS

Date	Policy/Guidance	Summary	Author
2018	Advice leaflet for individuals receiving care at home or in the community who have an infection with, or are colonised by carbapenemase-producing Enterobacteriaceae (CPE)	This provides advice for those receiving care and is part of the CPE toolkit.	HPS
2017	Guidance on Prevention and Control of Clostridium difficile Infection (CDI) in Health and Social Care Settings in Scotland	This guidance is intended for use in acute and non-acute hospitals, care homes and care at home across Scotland.	HPS
2014	Decision aide for care home staff to support management of people with diarrhoea	Decision aide that can be used when patients have been diagnosed with diarrhoea or with CDI and also good practice points to be followed including PPE, cleaning of equipment.	SAPG

7 Antimicrobial prescribing and resistance

[Scottish Antimicrobial Prescribing Group](#)

- [Good Practice Recommendations – Care Homes](#)
- [Resources for health and care staff](#)
- [Scottish Antimicrobial Prescribing Group Antimicrobial Companion app](#)

Date	Policy/Guidance	Summary	Author
28/02/2023	Further Update on Standards on Healthcare Associated Infections and Indicators on Antibiotic Use and changes to Hospital Onset Covid-19 Reporting (scot.nhs.uk)	Provides information on the Extension of HCAI standards reporting on HCAI and antibiotic use from 2022 to 2024. Update on UK AMR NAP Ambition 1B: Gram Negative bloodstream infections change of target to 25% by March 2024	SG
17/02/2023	Antimicrobial resistance: information - Factsheet	Information about what we are doing in relation to antimicrobial resistance (AMR).	SG
2019	Mandatory HCAI and AMR Policy Requirements	Update to DL(2015)19. Includes the new 5 year AMR strategy and updated HCAI standards and indicators.	SG
2019	UK 20 year vision for antimicrobial resistance	The vision and plan were developed across the government, its agencies and administrations in Scotland, Wales and Northern Ireland, with support from a range of stakeholders. It is supported by the UK 5-year action plan for antimicrobial resistance 2019 to 2024 .	UK Gov
2017	Antimicrobial Resistance: resource handbook	Identifies current national policy, guidance and supporting materials in relation to the infection prevention and control of healthcare associated infections (HCAI) and antimicrobial stewardship in order to aid in the reduction of antimicrobial resistance	PHE

Date	Policy/Guidance	Summary	Author
2016	Antimicrobial Stewardship quality standard	This quality standard covers the effective use of antimicrobials (antibacterial, antiviral, antifungal and antiparasitic medicines) to reduce the emergence of antimicrobial resistance	NICE
2014	The Scottish Management of Antimicrobial Resistance Action Plan (ScotMARAP) 2	A document detailing the action plan for the management of antimicrobial resistance 2014-18 in Scotland.	SG

8 Creutzfeldt-Jakob Disease (CJD or vCJD)

Guidance for TSEs including CJD can be found in the [A-Z pathogens](#)

Date	Policy/Guidance	Summary	Author
2020	Reducing the risk of transmission of Creutzfeldt–Jakob disease (CJD) from surgical instruments used for interventional procedures on high-risk tissues (IPG 666)	Evidence-based recommendations on reducing the risk of transmission of Creutzfeldt–Jakob disease (CJD) from surgical instruments used for interventional procedures on high-risk tissues. These procedures on high-risk tissues are intradural surgery on the brain (including the pituitary gland) and spinal cord, neuroendoscopy, and surgery on the retina or optic nerve	NICE

Date	Policy/Guidance	Summary	Author
2019	Paediatric Components Working Group – Report. Importation of plasma and use of apheresis platelets as risk reduction measures for variant Creutzfeldt-Jakob Disease	Report from a working group set up to advise whether the risk reduction measures for transfusion transmission of variant Creutzfeldt-Jakob disease (vCJD) of importing plasma and using apheresis platelets for individuals born on or after 1 st January 1996 and for patients with thrombotic thrombocytopenic purpura (TTP), should be maintained, withdrawn for some individuals, or withdrawn altogether.	PHE/ SABTO
2018	UK infected blood enquiry – retention of records	Letter issued to ensure: <ul style="list-style-type: none"> • any patient or other records held which may be relevant to the UK Infected Blood Inquiry are not destroyed. • that patients or, in the case of patients who have died, their relatives are not charged for copies of their medical records. 	SG
2015	Adenotonsillar surgical procedures: revision of advice on single use vs reusable instruments	Letter gives new advice on the use of single use instruments used for routine tonsillectomy for adults and children.	SG
2008	Changes to the ACDP Guidance on TSE Agents: Safe working and the prevention of infection and Refurbishment of endoscopes potentially contaminated with CJD agent. CMO(2008)11	Advises and informs infection control teams, clinical governance and risk management committees of the local policy and procedures to be put in place for all patients about to undergo any surgery or endoscopy which may involve contact with tissue of potentially high level TSE infectivity.	SG

Date	Policy/Guidance	Summary	Author
2006	Additional annex to the advisory committee on dangerous pathogens (ACDP) Guidance on Transmissible Spongiform Encephalopathy agents: safe working and the prevention of infection	Details the additional annex advising on the assessment to be carried out on patients before surgery and endoscopy to identify patients with or at risk of CJD.	SG

9 Surveillance

Date	Policy/Guidance	Summary	Author
2020	CMO Letter – Changes to routine surveillance requirements in response to COVID-19 pandemic	Letter provides details of temporary changes to surveillance reporting during the pandemic regarding SSI, SAB, ECB, CDI and ICU.	SG
2019	Surgical Site Infection Surveillance Protocol and Resource pack v7.1	Provides information, definitions and instructions for national surveillance of surgical site infection (SSI) in Scotland.	HPS
2019	Mandatory requirements for SSI surveillance	Brief details of the mandatory Surgical Site Infection (SSI) surveillance that has been mandatory since 2002.	HPS
2019	Surveillance of HAI in ICU protocol	All NHS Boards are required to undertake surveillance of HAI within Intensive Care Units as per the HPS/SICSAG protocol.	HPS
2016	Protocol for Enhanced Staphylococcus aureus bacteraemia surveillance	This protocol was developed by a joint HPS/Infection Control Network Working Group. It covers SAB core data sets, definitions and methods.	HPS
2016	Recommended protocol for testing for Clostridium difficile and subsequent culture	This protocol is intended for use by microbiology labs in NHSScotland.	HPS
2014	Catheter Associated Urinary Tract Infection Surveillance for Acute Settings	CAUTI Surveillance is voluntary surveillance and NHSScotland boards are encouraged to participate in the surveillance programme.	HPS
2014	Urinary tract infection surveillance for use in Health and Social Care Facilities	This UTI surveillance protocol has been developed specifically for use in facilities caring for older people, as it is based on definitions specific to this population.	HPS
2013	MRSA Key Performance Indicators – April 2013	Letter issued to confirm the expectation that NHS Boards are to commence collecting MRSA KPI data with effect from 1 April 2013.	SG

Date	Policy/Guidance	Summary	Author
2011	Surveillance of Central Venous Catheter Related infection Protocol (First edition)	Provides information, data definitions and instructions for national surveillance of Central Venous Catheter (CVC) related infection in Scotland	HPS
2011	Protocol for Surveillance of antimicrobial resistance in urinary isolates in Scotland	Each Scottish NHS Board submits susceptibility data on 400 urinary samples per quarter (1600 per year).	HPS
2009	Revised framework for National Surveillance of HAI and the introduction of Healthcare associated infection and the introduction of a new health efficiency and access to treatment (HEAT) target for Clostridium difficile associated disease (CDAD) for NHSScotland CEL(2009)11	Letter confirms the terms of the HEAT target for CDAD, the terms of the extension of mandatory surveillance of CDAD. Also deals with changes to surveillance of Caesarean sections.	SG

10 Sepsis

[The UK Sepsis Trust](#)

- [Clinical Tools](#)
- [Educational materials](#)

[Scottish Patient Safety Programme \(SPSP\) Sepsis Toolkit](#)

11 Quality improvement

Date	Policy/Guidance	Summary	Author
	ARHAI Quality improvement tools	<p>Tools and literature reviews are available for a range of clinical procedures and settings.</p> <ul style="list-style-type: none"> • Central Vascular Catheters (CVCs) • Peripheral Vascular Catheters (PVCs) • Surgical Site Infection (SSI) • Blood culture • Ventilator Associated Pneumonia (VAP) 	ARHAI Scotland
	NHSScotland Quality Improvement Hub iHub	<p>The NHSScotland Quality Improvement Hub is a national collaboration among special health boards and Scottish Government Health Directorates which aims to support NHS boards with implementation of the Healthcare Quality Strategy through effective partnership working between the collaborating organisations.</p>	HIS
2013	Next steps for acute adult safety – patient safety essentials and safety priorities – CEL 19(2013)	<p>Letter sets out 10 patient safety essentials to be implemented everywhere in NHSScotland. Includes hand hygiene, VAP bundle, CVC insertion bundle and CVC Maintenance Bundle.</p>	SG

11.1 Urinary Tract Infection/Catheter Associated (CAUTI)

Date	Policy/Guidance	Summary	Author
15/02/2023	UTI in adults: Quality Standard	Update to June 2015 Standard. The review identified: <ul style="list-style-type: none"> • updated guidance on urinary tract infection in adults • new guidance on antimicrobial prescribing for urinary tract infection. 	NICE
2022	Urinary tract infection in under 16s: diagnosis and management NICE guideline [NG224] Published: 27 July 2022	This guideline covers diagnosing and managing first or recurrent upper or lower urinary tract infection (UTI) in babies, children and young people under 16. It updates and replaces NICE guideline CG54 (August 2007).	SIGN
2022	UTI in Children and young people: Quality Standard	This quality standard covers diagnosing and managing urinary tract infection in infants, children and young people (under 16). In July 2022, changes were made to align this quality standard with the updated NICE guideline on urinary tract infections in under 16s	NICE
2020	SIGN 160 Management of Suspected Bacterial Urinary Tract Infection in Adults Woman	This guideline replaces SIGN 88. Provides recommendations based on current evidence for best practice in the management of adult woman with suspected urinary tract infection.	SIGN
2018	Decision aide and tools for diagnosis and management of suspected urinary tract infection	guidance based on the available evidence and national consensus to support primary care teams in managing acute and recurrent urinary tract infections.	SAPG
2018	Urinary tract infection (catheter associated): antimicrobial prescribing	Sets out an antimicrobial prescribing strategy for catheter-associated urinary tract infection in children, young people and adults. It aims to	NICE

Date	Policy/Guidance	Summary	Author
		optimise antibiotic use and reduce antibiotic resistance.	
2018	Urinary tract infection (recurrent). Antimicrobial prescribing	Sets out an antimicrobial prescribing strategy for preventing recurrent urinary tract infections in children, young people and adults who do not have a catheter. It aims to optimise antibiotic use and reduce antibiotic resistance.	NICE
2018	Urinary Tract – lower. Antimicrobial prescribing	Sets out an antimicrobial prescribing strategy for lower urinary tract infection (also called cystitis) in children, young people and adults who do not have a catheter. It aims to optimise antibiotic use and reduce antibiotic resistance.	NICE
2018	National Hydration Campaign Materials	The Scottish Urinary Tract Infection Network (SUTIN) launched a National Hydration Campaign in April 2018 which aims to convey the public health benefits of good hydration in terms of UTI prevention.	HPS/ SUTIN
2013	UTI in men: Quality Standard	Covers the diagnosis and management of lower urinary tract symptoms (LUTS) in men (18 years and older).	NICE

11.2 Surgical Site Infection (SSI)

Date	Policy/Guidance	Summary	Author
2019	Surgical Site infection: prevention and treatment www.nice.org.uk/guidance/ng125	This guideline covers preventing and treating surgical site infections in adults, young people and children who are having a surgical procedure involving a cut through the skin. It focuses on methods used before, during and after surgery to minimise the risk of infection.	NICE

12 Setting specific guidance

The [NIPCM](#) applies in all NHSScotland settings and is best practice in all other care settings.

See [Care Home IPCM](#) which applies to care home settings.

12.1 Childcare settings

Date	Policy/Guidance	Summary	Author
2018	SBAR Hygiene requirements for outdoor nurseries	Review of current literature, guidance and legislation for additional infection control requirements for outdoor nurseries. Provides recommendations that when followed can reduce the spread of infection within the nursery setting.	HPS
2018	Infection Prevention and Control in Childcare Settings (Day Care and Childminding Settings)	Provides guidance and advice on preventing and controlling infection for staff who work with children in childcare facilities (day-care) and childminding services in Scotland. Includes exclusion criteria and section on keeping toys and equipment clean.	HPS
2017	Health Protection in children and young people settings, including education	PHE guidance for use in schools and other childcare facilities in England and Wales.	PHE

12.2 Dentistry

Date	Policy/Guidance	Summary	Author
2019	Compliant Dental Local Decontamination Units in Scotland Version 2 – GUID 5005	This document clarifies the national requirements for compliant reprocessing of dental devices in Local Decontamination Units (LDUs) with regard to The European Union Medical Devices Regulations 20171 (EU MDR), current best practice guidance and scope of activity. It brings together all elements and revises some elements of previous advice and requirements in relation to the operation of LDUs.	HFS
2017	Dental Instrument Storage Evidence Note	The evidence note is a rapid review of evidence. Evidence notes do not make recommendations for NHSScotland, however the Scottish Health Technologies Group (SHTG) produces an Advice Statement to accompany all evidence reviews.	HIS
2016	General dentistry: exposure prone procedure categorisation	Exposure prone procedures (EPPs) and non EPPs carried out in general dentistry, categorised by levels of risk of bleed-back, compiled by UKAP	PHE
2016	Decontamination into Practice Introduction and overview	A series of documents that provides advice on various aspects of dental practice, including cleaning and sterilization of reusable instruments and management on decontamination in the practice.	SDCEP
2007	Decontamination of Instruments in Dental Primary Care. CDO(2007)01	Clarifies information regarding decontamination in dentistry. Includes decontamination equipment purchase and layout of LDU.	SEHD

Date	Policy/Guidance	Summary	Author
2007	Decontamination of Instruments in Dental Primary Care. CDO(2007)02	Letter advises on availability of sterilisers (autoclaves) on the NHS contract	SEHD
2007	Important Advice for Dentists on Re-use of Endodontic Instruments and Variant Creutzfeldt-Jakob Disease (vCJD). CMO(2007)5	Clarifies the situation with regard to decontamination and re-use of instruments, especially those used in endodontic treatment, in the light of new research on risks of vCJD transmission via dental treatment.	SEHD

12.3 Neonatal settings

Date	Policy/Guidance	Summary	Author
2018	Healthcare infection in neonatal units – information for parents and guardians	Leaflet for parents and guardians of babies in neonatal units explaining additional precautions to be taken in a neonatal unit.	HPS
2014	Neonatal infection Quality Standard	Covers the use of antibiotics to prevent and treat infection in newborn babies (both term and preterm) from birth to 28 days in primary (including community) and secondary care.	NICE

12.4 Prison Service

Date	Policy/Guidance	Summary	Author
2019	Preventing and managing bacterial wound infections in prisons	Contains public health and infection prevention and control guidance that can be followed when bacterial wounds including GAS infections are found. Includes guidance for staff groups and settings such as gyms and laundry.	PHE

Date	Policy/Guidance	Summary	Author
2011	Prisoner Healthcare	Letter regarding responsibility for the provision of healthcare services to prisoners transferring from the Scottish Prison Service (SPS) to Health Boards on 1 November 2011.	SG

12.5 Animals in care settings

Date	Policy/Guidance	Summary	Author
2019	Animals in Care Settings: Infection Prevention and Control Guidelines	This guidance provides actions required for any health or social care settings when considering bringing animals into the care setting	HPS

13 Education and Training

13.1 NHS Education for Scotland

NHS Education for Scotland (NES) Healthcare Associated Infection (HAI) team is responsible for providing educational resources to support health and social care staff and students working in acute, primary care, community, care home/residential housing and care at home services across Scotland. The NES Team provide a comprehensive suite of resources across Antimicrobial Resistance and Healthcare Associated Infections with a multi-disciplinary focus and strong alignment with patient safety, clinical skills, quality improvement and health protection.

[View NES HAI webpage](#) including Scottish IPC Education Pathway (SIPCEP).

[Coronavirus \(COVID-19\) – Learning materials for professionals](#) are available on TURAS learn.

13.2 e-BUG



e-Bug is a European wide free educational resource for classroom and home use and makes learning about micro-organisms, the spread, prevention and treatment of infection fun and accessible for all students.

The website has information for teachers and Junior and Senior Students including revision quizzes and games.

The website can be accessed at www.e-bug.eu

14 HAI Standards, Management and risk

Date	Policy/Guidance	Summary	Author
2022	Healthcare Improvement Scotland Infection Prevention and Control Standards	The HIS IPC standards will be adhered to across all health and adult social care settings including NHSScotland settings, independent healthcare and adult social care including care homes. Supersede HIS' healthcare associated infections (HAIs) standards published in 2015.	HIS
2022	Publication of Healthcare Improvement Scotland Infection Prevention and Control Standards DL (2022) 14	Update on the issue on 16 May of a new single set of standards for use across health and adult social care.	SG
2019	Mandatory HCAI and AMR Policy Requirements DL(2019)23	Update to DL(2015)19. Includes the new 5 year AMR strategy and updated HCAI standards and indicators. Reference to the built environment and requirements for adoption of HAI-SCRIBE and compliance with relevant SHTMs.	SG
2017	Management of Public Health Incidents: Guidance on the roles and responsibilities of NHS led incident management teams, Scottish Guidance No 12	This document provides generic guidance for the NHS in preparing for, and managing public health incidents in collaboration with partners, especially the local authorities (LAs). It is not intended to be prescriptive and should allow for flexibility so that NHS boards can respond appropriately where necessary.	HPS/ HPN
2014	Guidelines on the roles and responsibilities of agencies involved in the investigation and management of zoonotic disease in Scotland	The guidelines seeks to clarify the roles and responsibilities of different organisations with respect to zoonotic incidents and outlines how they should best work together in different situations:	SHPN
2011	Accurate recording of deaths from Healthcare Associated Infection and Action	Supplementary guidance clarifying the process for deaths from Healthcare Associated Infection (HAI). Ensure	SG

Date	Policy/Guidance	Summary	Author
		consistent and reliable systems to identify as a minimum <i>C. difficile</i> and MRSA associated deaths.	
2008	The Risk Management of HAI: A Methodology for NHS Scotland	Guidance to Boards on setting priorities for targeting measures to reduce the risk of HAI using risk-based methodology.	SG
2005	Infection control: organisational issues	Reinforcement of the main responsibilities of Chief Executives and Infection Control Managers in relation to HAI.	SEHD
2005	Funding for Infection Control Manager Posts. CNO(2005)3	This letter outlines details of the funding which will be provided by SEHD to each NHS Board to support the employment of an Infection Control Manager, including the arrangements and conditions of such funding.	SEHD
2004	Guidance on Training and Support for Those Involved in Media Handling in NHSScotland	Compiled by a multidisciplinary working group, this letter from the CMO and the attached guidance outline the tasks for NHS Boards in relation to principles underpinning interactions with the media.	SEHD
2001	Carey Report Managing the Risk of Healthcare Associated Infection in NHSScotland	Recommended that NHSScotland adopt a standard approach to risk management in relation to healthcare associated infection and set out draft standards for infection control, cleaning services and decontamination.	SEHD

15 Organism Specific

Guidance and supporting materials on specific disease/pathogen can be found in the [NIPCM A-Z pathogens](#).

15.1 *Clostridiodes difficile* infection

Date	Policy/Guidance	Summary	Author
2013	Clostridium difficile	Reminder that C.difficile as with all HAI remains a national priority and giving guidance for clinical staff and laboratory staff.	SG
2010	Antimicrobial Resistance: CMO(2010)24	Outlines the increasing threat of antibiotic resistance in bacteria (particularly Gram negative bacteria and carbapenemase-producing enterobacteriaceae).	SG

15.2 Carbapenemase-producing Enterobacterales (CPE)

Date	Policy/Guidance	Summary	Author
2017	Carbapenemase producing Enterobacteriaceae (CPE) Policy Requirements	Letter reinforcing the mandatory policy requirement for CPE Screening in NHS boards across Scotland Antimicrobial resistance.	SG
2016	CPE Screening samples in hospitals Advice statement	The evidence note is a rapid review of evidence..	HIS

15.3 Group A Streptococcus (GAS)

Date	Policy/Guidance	Summary	Author
22/12/2022	Streptococcus cases in the UK – Case definition and guidance	Provides update regarding case definitions, guidance and antibiotic supply and management of GAS and iGAS infections	SG

15.4 Hepatitis C (HCV)

Date	Policy/Guidance	Summary	Author
2016	The Penrose Inquiry: Report of a Scottish Government Commissioned Short-Life Working Group	Report and recommendations of a short life working group which was set up to examine the circumstances in which HCV infections occurred during NHS treatment in Scotland, up to the introduction for a test for Hepatitis C in donated blood in 1991.	SG

15.5 Meticillin Resistant *Staphylococcus aureus* (MRSA) (including *Staphylococcus aureus* bacteraemia)

Date	Policy/Guidance	Summary	Author
2013	MRSA key performance indicators	Letter informs NHS Boards that they are expected to begin collecting data using the MRSA KPI Tool with effect from 1 April 2013.	SG

15.6 Pneumonia

Date	Policy/Guidance	Summary	Author
2016	Pneumonia in adults: quality standard	This quality standard covers adults (18 years and older) with a suspected or confirmed diagnosis of community-acquired pneumonia.	NICE

Date	Policy/Guidance	Summary	Author
2014	Pneumonia in adults: diagnosis and management	This guideline covers diagnosing and managing community- and hospital-acquired pneumonia in adults..	NICE

15.7 *Pseudomonas aeruginosa* and other related Gram negative water-borne organisms

Date	Policy/Guidance	Summary	Author
2013	Water sources and potential infection risk to patients in high risk units – a revised guidance	Revised guidance was issued on SHTM 04-01, part A and B and Guidance for neonatal units (NNUs) (levels 1, 2, 3), adult and paediatric intensive care units (ICUs) in Scotland to minimise the risk of <i>Pseudomonas aeruginosa</i> infection from water.	SG

15.8 Mpox

Date	Policy/Guidance	Summary	Author
03/11/2022	Principles for monkeypox control in the UK: 4 nations consensus statement	A consensus statement outlining principles for the control of monkeypox in healthcare and other settings in the UK.	UKHSA
28/06/2022	Monkeypox – New regulations came into effect on Thursday 16 June 2022 (SGHD/CMO(2022)26)	Provides details of the new regulations which came into effect on Thursday 16 June to make monkeypox a notifiable disease and monkeypox virus a notifiable organism.	SG

Date	Policy/Guidance	Summary	Author
20/05/2022	Monkeypox (MPX) cases in the UK – Investigation and assessment of individuals suspected to have MPX and management of confirmed cases of MPX – (SGHD/CMO(2022)22)	Letter to support clinicians in primary and secondary care in the investigation and management of individuals suspected or confirmed to have MPX in the context of an evolving situation.	SG

16 Organisation websites

[ARHAI Scotland](#)

[Health Facilities Scotland \(HFS\)](#)

[Healthcare Improvement Scotland \(HIS\)](#)

[Medical and Healthcare Products Regulatory Agency \(MHRA\)](#)

[National Institute for Health and Clinical Excellence \(NICE\)](#)

[Public Health Scotland \(PHS\)](#)

[Scottish Antimicrobial Prescribing Group \(SAPG\)](#)

[Scottish Dental Clinical Effectiveness Programme \(SDCEP\)](#)

[Scottish Government Health and Social Care Directorate \(SEHD/SGHD\)](#)

[Scottish Intercollegiate Guidelines Network \(SIGN\)](#)

[United Kingdom Health Security Agency \(UKHSA\)](#)

[World Health Organization \(WHO\)](#)